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U.S.  
PTO  
03  
80/60/60

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
112300-1634Total Pages in this Submission  
90TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF SUCCESS IN ANOTHER ROUND**

and invented by:

Anthony J. Baerlocher et al.

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 10/114,837

Which is a:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 09/628,144

Which is a:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
  
2.  Specification having 67 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

22388 U.S. PTO  
10/659629

09/09/03

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**Application Elements (Continued)**

3.  Drawing(s) (when necessary as prescribed by 35 USC 113)  
a.  Formal Number of Sheets 16  
b.  Informal Number of Sheets \_\_\_\_\_

4.  Oath or Declaration  
a.  Newly executed (original or copy)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
c.  With Power of Attorney  Without Power of Attorney  
d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)

7.  Application Data Sheet (See 37 CFR 1.76)

8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)  
a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  Paper  
c.  Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(B) Statement (when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Certificate of Mailing  
 First Class  Express Mail (Specify Label No.): EV 352435213 US

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**Accompanying Application Parts (Continued)**

17.  Additional Enclosures (*please identify below*):

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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 112300-1634

Total Pages in this Submission  
 90

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

| For   | #Filed                   | #Allowed | #Extra | Rate                    | Fee               |
|---|--------------------------|----------|--------|-------------------------|-------------------|
| Total Claims                                    | 60                       | - 20 =   | 40     | x \$18.00               | \$720.00          |
| Indep. Claims                                   | 15                       | - 3 =    | 12     | x \$84.00               | \$1,008.00        |
| Multiple Dependent Claims (check if applicable) | <input type="checkbox"/> |          |        |                         | \$0.00            |
|   |                          |          |        | <b>BASIC FEE</b>        | <b>\$750.00</b>   |
| OTHER FEE (specify purpose)                     |                          |          |        |                         | \$0.00            |
|   |                          |          |        | <b>TOTAL FILING FEE</b> | <b>\$2,478.00</b> |

A check in the amount of \$2,478.00 to cover the filing fee is enclosed.

The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.

Charge the amount of \_\_\_\_\_ as filing fee.

Credit any overpayment.

Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



*Signature*

Adam H. Masia (Reg. No. 35,602)  
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 Chicago, Illinois 60690-1135  
 (312) 807-4284

Dated: September 9, 2003

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.  
Appl. No.: Unknown  
Filed: Unknown  
Title: GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE  
SUCCESSION IN ONE ROUND DETERMINES THE PROBABILITIES OF  
SUCCESSION IN ANOTHER ROUND  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112300-1634

Mail Stop Patent Applications  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Utility Patent Application Transmittal Letter (duplicate);
2. Specification (67 pages);
3. Figures (16 sheets);
4. unexecuted Declaration and Power of Attorney (3 pages);
5. Check in the Amount of \$2478.00; and
6. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as  
Express Mail in an envelope addressed to:

Mail Stop Patent Applications  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on September 9, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buccetti  
Name of Person Mailing Correspondence

Signature

EV 352435213 US